Mr. Christopher J. Kirkpatrick  
Secretary of the Commission  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, NW  
Washington, DC 20581  

Re: Amendments to ICE Futures U.S. Rule 4.02 - Submission Pursuant to Section 5c(c)(1) of the Act and Regulation 40.6

Dear Mr. Kirkpatrick:

Pursuant to Commission Regulation 40.6(a), ICE Futures U.S., Inc. (“IFUS” or “Exchange”) self-certifies the amendment to Exchange Rule 4.02 set forth in Exhibit A. The amendment to Rule 4.02(d) tracks Commission Regulation 180.1 and adds new language that expressly prohibits attempted fraudulent action on the Exchange and the use or employment of any manipulative device, scheme or artifice to defraud.

The amendments will become effective on November 14, 2016. The Exchange certifies that the rule amendments comply with the requirements of the Commodity Exchange Act and the rules and regulations promulgated thereunder. Specifically, the amendments comply with Core Principle 2 (Compliance with Rules) and Core Principle 4 (Protection of Market and Market Participants). As mentioned above, the language of the amendment tracks Commission Regulation 180.1 by prohibiting attempted fraudulent action on the Exchange and the use or employment of any manipulative device, scheme or artifice to defraud. The Exchange further certifies that, concurrent with this filing, a copy of this submission was posted on the Exchange’s website, which may be accessed at (https://www.theice.com/futures-us/regulation#rule-filings).
If you have any questions or need further information, please contact me at 212-748-4021 or at jason.fusco@theice.com.

Sincerely,

Jason V. Fusco
Assistant General Counsel
Market Regulation

Enc.
cc: Division of Market Oversight
    New York Regional Office
Rule 4.02. Trade Practice Violations

In connection with the placement of any order or execution of any Transaction, it shall be a violation of the Rules for any Person to:

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(d) Commit or attempt to commit fraudulent action on the Exchange or use or employ, or attempt to use or employ, any manipulative device, scheme, or artifice to defraud;

[REMAINDER OF RULE UNCHANGED]