



GLOBAL MARKETS IN CLEAR VIEW

ICE Link Client Clearing Onboarding

ICE Link Client Clearing Go Live Process

Clearing Broker (CB) or Client informs ICE Link that a client wants to be enabled to clear CDS through ICE Clear Credit or ICE Clear Europe

- **Client Legal Steps:**

- All clients must have an executed Participation Agreement, Clearing Addendum and KYC on file with ICE Link prior to go live
 - New ICE Link clients must complete all 3 documents
 - Existing ICE Link clients only need to execute the Clearing Addendum

- **Client Onboarding Steps:**

- Client Services reviews on-boarding process & documents with client
- On-boarding forms requesting general information (i.e. contact details, institution & legal entity details, user access details, Dealers, Clearing Brokers(s) , Authorized Third Parties) must be completed by client and returned to ICE Link for set up
 - For existing ICE Link clients, Institution Name(s), Legal Entities and User details will be leveraged for clearing set up and fewer onboarding forms are required*
- Client, ICE Link and Clearing Broker agree on a target go live date
- ICE Link Client Services coordinates on-boarding with Client, Clearing Brokers(s) and Vendor(s) [if applicable]
- ICE Link Client Services conducts User Training with Client prior to go live & Clearing Brokers(s) if needed

*For additional information please refer to the documentation matrix on slide 4

ICE Link Client Clearing Go Live Process *(continued)*

- **Clearing Broker(s) Onboarding Steps for each Client:**
 - Clearing Brokers(s) submits Clearing Broker Approval Form to Client Services for each client they will be clearing on behalf of, listing client legal entities [funds], corresponding legal entity DTCC numbers, Position Management [Netting or Trade by Trade] set-ups and Third Parties if applicable
 - Position Management set up information must be provided at the fund level
- **How Long Will Onboarding Take?**
 - New Clients:
 - From the time the Legal Documentation is executed and On-boarding documentation is returned it will take ICE Link a *minimum* of 48 hours to complete internal set up. Dependency on Third Parties [if applicable] may impact overall timeline
 - Existing Clients:
 - Clients which have not cleared trades in the past cannot clear without a *minimum* of 24 hours notice

ICE Link Client & CB Documentation Requirements

		DOCUMENTATION TO BE FILLED OUT BY BUY-SIDE CLIENT				Clearing Broker
		NEW ICE LINK CLIENT	EXISTING ICE LINK CLIENT	ADDITIONAL FUND	ADDITIONAL USERS	Clearing Broker [New Clients and additional funds for existing Clients]
LEGAL	PA & KYC/AML	✓				
	CLEARING ADDENDUM	✓	✓			
ON-BOARDING FORMS	LEGAL ENTITY FORM	✓		✓		
	USER ACCESS FORM	✓			✓	
	CLEARING BROKER APPROVAL FORM					✓
	**APPROVED THIRD PARTY FORM	✓				

Client using a Vendor Integration must sign the **Approved Third Party Form

ICE Link Contacts

Client Services:

Client Services Global Group Email – icelinkcs@theice.com; ICELinkhelp@theice.com

Client Services US – icelinkcsna@theice.com

Client Services US Main Line – +1 770 738 2101 (Option 3+1+1)

Client Services Europe – icelinkcsuk@theice.com

Client Services Europe Main Line – +44(0)20 7488 5100 (Option 3+1+2)

Global Escalation Contact – Clara Gaspar clara.gaspar@theice.com; 212 323 6032

Sales:

Global Group email: icelink@theice.com

If you have any clients not using the system, our Sales team is happy to reach out to them