

**ICE Futures Europe Responsible Individual (RI) Registration Form
ICE ELECTRONIC TRADING PLATFORM**

Member Company		Member Mnemonic	
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Personal Details (Please complete ALL FIELDS in BLOCK CAPITALS)

Title (Mr/Mrs/Miss etc.)		Surname	
Date of Birth (DD/MM/Y)		First Name(s)	

Home Address		Home Phone	
Post Code		Work Phone	
Country		Mobile Phone	
		E-mail	
Jurisdiction (location of trader/broker):			

Are you a trader or a broker?			
Please state which front end system are you using? (TT, FIX API's, WebICE or other ISV)			
ITM Mnemonic(s) Please choose 3 different ITM preferences (assigned subject to availability)	1st Choice	2nd Choice	3rd Choice

ICE Futures Europe Contract Sets	SMA (System Managed Account) set up (with limits)	LMA (Locally Managed Account) set up (with no limits)
Oil Contracts		
Utility Contracts		
Emissions Contracts		
Interest Rate Futures Contracts		
Interest Rate Options Contracts		
Single Stock Futures Contracts**		
Single Stock Options Contracts**		
Equity Index Futures Contracts*		
Equity Index Options Contracts **		
Soft Commodity Contracts		

* Some Index Futures within this trading permission are not available to Members and Responsible Individuals who are located in the United States.

**This trading permission is not available to Members and Responsible Individuals who are located in the United States. Please refer to the ICE Futures Europe Circulars ICE Futures Europe Circulars 14/138 and 14/146

For Completion by the Individual applying for registration

I confirm that:

- a) I understand that business conducted on ICE Futures Europe is subject to ICE Futures Europe regulation and other appropriate regulatory requirements and I will always act in a manner which is compliant with the standards required by these bodies;
- b) I shall comply with all ICE Futures Europe Regulations;
- c) I am fully competent in using the front end application used by the above Member to trade ICE Futures Europe Contracts in a manner which is consistent with the role and obligations of an ICE Futures Europe Responsible Individual as set out in the ICE Futures Europe Regulations;
- d) I understand that I shall be responsible to ICE Futures Europe for all transactions conducted and or actions taken or omitted using any ITM allocated to me by the Exchange or any ITM of another Registered Individual registered to the above Member for which the Member nominates me on a temporary basis; (please refer to Rule A.A10, Responsible Individual Responsibility https://www.theice.com/publicdocs/contractregs/05_SECTION_A.pdf)
- e) I consent to my personal data being used by ICE Futures Europe and or transmitted to and from the United States of America for the purpose of operating and monitoring ICE Futures Europe and related purposes.

Signature		Print Name		Date	
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For completion by the Member

We confirm that the above named person is suitably and adequately trained and is in a position to fulfil the role and obligations of an ICE Futures Responsible Individual. We confirm that we have consulted the details of ICE Futures Europe’s various jurisdictional approvals at www.theice.com/publicdocs/futures_jurisdiction.pdf and that there are no jurisdictional restrictions applicable to our proposed trading

Senior Management or Compliance Officer Signature		Title	
		Print Name	
Date			