

APPLICATION FOR POSITION LIMIT EXEMPTIONS

APPLICANT COMPANY	
ACCOUNT NAME	
SUBMITTED BY	
POSITION	

CLEARING MEMBER(S) WHERE ACCOUNTS WILL BE MAINTAINED (ATTACH AN ADDITIONAL SHEET IF NECESSARY)	
CLEARER NAME	
ACCOUNT EXECUTIVE	
ACCOUNT NUMBER	

PLEASE INDICATE CONTRACT EXEMPTION APPLIED FOR BY TICKING THE APPROPRIATE BOX	TICK ✓
ICE WTI FUTURE	
ICE HEATING OIL FUTURE	
ICE (NYH) RBOB GASOLINE FUTURE	
ICE SOYBEAN OIL PENULTIMATE DAY 1 ST LINE FUTURE	

PLEASE PROVIDE THE FOLLOWING INFORMATION
DESCRIPTION OF THE SIZE AND THE NATURE OF THE EXEMPTION SOUGHT

BRIEF EXPLANATION OF THE NATURE AND EXTENT OF THE APPLICANTS BUSINESS AND SUCH OTHER INFORMATION AS MAY DEMONSTRATE THAT THE GRANTING OF THE EXEMPTION IS CONSISTENT WITH RULES

STATE WHETHER THE PERSON ON WHOSE BEHALF THE REQUEST IS MADE: -

- (A)** MAINTAINS POSITIONS IN THE CONTRACT FOR WHICH THE EXEMPTION IS SOUGHT WITH ANY OTHER MEMBER
- (B)** HAS MADE A PREVIOUS OR CONTEMPORANEOUS REQUEST PURSUANT TO THE RULES THROUGH ANOTHER MEMBER AND IF SO, THE RELATIONSHIP BETWEEN THE INFORMATION SET FORTH IN SUCH REQUESTS.

(A)

(B)

I CONFIRM THAT THE INTENDED POSITION WILL BE EITHER (PLEASE INDICATE BY TICKING THE APPROPRIATE BOX)

TICK
✓

A BONA FIDE HEDGE THAT IS ECONOMICALLY APPROPRIATE AND NECESSARY OR ADVISABLE AS AN INTEGRAL PART OF THE APPLICANT'S BUSINESS AND COMPLIES WITH ALL EXCHANGE REQUIREMENTS RELAIN TO HEDGING

A RISK MANAGEMENT POSITION AS DESCRIBED IN RULE P.5

AN ARBITRAGE OR SPREAD POSITION

FURTHER DETAILS:

I CONFIRM THAT THE APPLICANT WILL COMPLY WITH ANY LIMITATIONS IMPOSED BY THE EXCHANGE WITH REGARD TO SUCH POSITIONS; AND THAT THE APPLICANT WILL IMMEDIATELY SUPPLY THE EXCHANGE WITH A SUPPLEMENTAL STATEMENT WHENEVER THERE IS A MATERIAL CHANGE TO THE INFORMATION PROVIDED IN THE APPLICANTS MOST RECENT APPLICATION.

SIGNED

DATE

This form must be submitted (preferably by email) no later than five (5) business days prior to exceeding the position limit to:

ICE Futures Europe Compliance
ICE Futures Europe
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