

ICE Data Global Index Feed Data Entitlement Control Policy

This policy (“**Policy**”) applies to Customers subscribing to Global Index Feed Data and sets forth the technical and/or administrative controls required for the receipt and/or distribution of the Global Index Feed Data provided by ICE Data Indices, LLC (“**ICE Data**”).

Capitalized terms used in this Policy, including the term “**Global Index Feed Data**”, shall have the meaning set forth in the "[Terms and Conditions for the Receipt and Use of Global Index Feed Data](#)".

All entitlement control systems should have the ability to perform the following:

1. Each user entitled for Global Index Feed Data must be assigned their own unique ID/Password which is not shared. For audit purposes, Customers are expected to maintain a record of all users entitled to Global Index Feed Data and a list of their respective user ID/Password’s and entitlements.
2. Prevent uncontrolled simultaneous access to Global Index Feed Data by the same user ID/Password from more than one Ticker Display device.
3. Generate entitlement reports (i.e. .csv or .txt; not an Excel file) detailing by location, those persons entitled, and not entitled, to Global Index Feed Data. The system and/or report output should track the day and time each individual was permissioned or de-permissioned and include the date the report was run, and the following fields:
 - a. User ID
 - b. VAN
 - c. Legal company name
 - d. Installation address
 - e. Number of entitlements (quantity)
 - f. Activation date
 - g. De-activation date
 - h. Type of data
4. Provide an audit trail identifying each entitlement transaction (additions, deletions, etc.) on a product level.

For audit purposes, all entitlement systems should have the ability to generate and store entitlement reports for a period of **no less than three years** from the date access to the Global Index Feed Data was removed. The reports must be made available to NYSE^{1*} upon request or as determined from time to time.

In the event a Customer is unable to provide accurate historical/audit information from the entitlement system, NYSE* reserves the right to bill for all Ticker Display devices on the network.

Please refer to the [Vendor Guide](#) for reporting guidelines.

* NYSE is the contract administrator for NYSE Prop, CTA and ICE Data Global Index Feed (GIF) products.

This fact sheet is a summary document intended to set forth the highlights of ICE Data policy, rates, and procedures. Questions and/or circumstances not covered in this document should be referred to NYSE* as contract administrator, for the determination of applicable fees and procedures.

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