

RTS 153/2013 10 (a): (i) Organisational structure, objectives, strategy (supplementary information)



GOVERNANCE

Board of Directors of ICE Clear Europe Limited (ICEU)

- Chair: Ann Cairns
- President: Hester Serafini
- Senior Independent Director: Andy Mack
- Independent Non-Executive Directors:
 - Guy Bainbridge
 - Roger Barton
 - Lynn Johansen
 - James Repard
 - Caroline Silver
- Non-Executive Directors:
 - Chris Edmonds
 - Jeffrey Sprecher

Board Committees

- Board Risk Committee:
 - Chair: Andy Mack
- Client Risk Committee:
 - Chair: Andy Mack
- Audit Committee:
 - Chair: Guy Bainbridge
- Nominations and Compensation Committee:
 - Chair: Ann Cairns

Management

- President: Hester Serafini
- Chief Risk Officer: Dale Nolan

- Chief Operating Officer and ICEU Chief Technology Officer: Matt Glenville
- Deputy Chief Operating Officer: Daniel Howard
- Head of First Line Clearing Risk: Boudewijn Duinstra
- Head of Operations: Robert Lynn
- Head of Treasury: Tushit Sen
- Head of Regulation & Compliance and ICEU Chief Compliance Officer: George Milton

CORE OBJECTIVES

The core objectives of ICEU is based on the following principles:

- ICEU provides stability to the financial markets while providing fair and open access to clearing and sound risk management services
- To provide reliable, scalable and innovative clearing technology.
- To prevent, detect, track and mitigate cyber incidents
- To maintain and grow ICEU's clearing and risk management services