



---

# Jurisdictions

September 2018

---

This document lists the jurisdictions in which ICE Endex is permitted to grant access to the ICE Endex markets. It details restrictions of which the Exchange has been made aware. ***It does not constitute legal advice***, nor should it be relied upon as such by any party, in any context. Nor is this document intended to be definitive in terms of the jurisdictions from which market participants might access the ICE Endex markets.

Market participants or prospective market participants should obtain their own legal, licensing and tax advice in relation to trading activities in the jurisdictions from which they propose to access the ICE Endex markets

---

## Table of Contents

<b>1. INTRODUCTION .....</b>	<b>4</b>
INTRODUCTION .....	3
<b>2. AMERICA .....</b>	<b>4</b>
USA .....	4
<b>3. ASIA.....</b>	<b>5</b>
SINGAPORE .....	5
<b>4. EUROPE .....</b>	<b>6</b>
EUROPEAN ECONOMIC AREA MEMBER STATES .....	6
SWITZERLAND.....	6
<b>5. SANCTIONED AND OTHER DISALLOWED JURISDICTIONS .....</b>	<b>7</b>
SANCTIONS .....	7

## 1. Introduction

ICE Endex Markets B.V. has been recognized by the national regulators of the countries listed in this document. Members seeking access from countries not listed in this document are requested to contact us via the contact details listed below in order for us to advise if access can be obtained.

Wouter de Klein  
Commercial Director ICE Endex  
[wouter.deklein@theice.com](mailto:wouter.deklein@theice.com)  
+31 20 305 5169

### ***Jurisdictional information for other ICE entities***

[ICE Futures Europe](#)  
[ICE Futures Singapore](#)  
[ICE Futures Canada](#)  
[ICE Futures US](#)

## 2. America

### **USA**

On 10 January 2017 the Commodity Futures Trading Commission (CFTC) issued an Order of Registration to ICE Endex pursuant to which ICE Endex is entitled to permit identified members and other participants located in the United States to enter trades directly into the ICE Endex order entry and trade matching system.

This order or registration is applicable for Energy markets.

### 3. Asia

#### ***Singapore***

On 23 January 2018 the Monetary Authority of Singapore (MAS) recognized ICE Endex as a Recognized Market Operator pursuant to which ICE Endex is entitled to permit identified members and other participants located in Singapore to enter trades directly into the ICE Endex order entry and trade matching system.

This recognition is applicable for Energy markets.

## 4. Europe

### European Economic Area Member States

As of 5 October 2004 and the implementation of the Markets in Financial Instruments Directive (Directive 2004/39/EC) ('MIFID'), ICE Endex has the status of regulated market and has the ability to make arrangements to facilitate access to its markets in EEA Member States, pursuant to Article 42 of MIFID. ICE Endex has notified the AFM: Dutch Authority for Financial Markets (home Member State regulator) of its intention to facilitate access to all EEA Member States:

Austria	Germany	Malta
Belgium	Greece	Norway
Bulgaria	Hungary	Poland
Croatia	Iceland	Portugal
Cyprus	Ireland	Romania
Czech Republic	Italy	Slovakia
Denmark	Latvia	Slovenia
Estonia	Liechtenstein	Spain
Finland	Lithuania	Sweden
France	Luxembourg	United Kingdom

### Switzerland

On 13 July 2016, the Swiss Financial Market Supervision (the 'FINMA') granted the Exchange authorization to act as a foreign trading venue in Switzerland.

## 5. Sanctioned and other disallowed jurisdictions

### Sanctions

ICE Endex is required to adhere to sanctions imposed by governments of the jurisdictions in which it operates, as well as those imposed internationally by the United Nations. Sanctions may be imposed against countries, entities or individuals and the Exchange may not provide access to these jurisdictions / entities / persons.

A non-exhaustive selection of sanctions lists is provided below:

- [EU](#)
- [USA](#)
- [Singapore](#)