



Jurisdictions

January 2023

This document lists the jurisdictions in which ICE Endex is permitted to grant access to the ICE Endex markets. It details restrictions of which ICE Endex has been made aware. ***It does not constitute legal advice***, nor should it be relied upon as such by any party, in any context. Nor is this document intended to be definitive in terms of the jurisdictions from which market participants might access the ICE Endex markets.

Market participants or prospective market participants should obtain their own legal, licensing and tax advice in relation to trading activities in the jurisdictions from which they propose to access the ICE Endex markets.

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1. Introduction

ICE Endex is entitled to permit members and other participants located in the countries listed in this document access to its markets.

Members seeking access from countries not listed in this document are requested to obtain legal advice and contact us via the contact details listed below.

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Jurisdictional information for other ICE entities

- [ICE Futures Europe](#)
- [ICE Futures Singapore](#)
- [ICE Futures Canada](#)
- [ICE Futures US](#)
- [ICE Futures Abu Dhabi](#)

2. America

USA

On 10 January 2017 the Commodity Futures Trading Commission (CFTC) issued an Order of Registration to ICE Endex pursuant to which ICE Endex is entitled to permit identified members and other participants located in the United States to enter trades directly into the ICE Endex order entry and trade matching system.

This order or registration is applicable for energy and environmental markets.

3. Asia

Qatar

ICE Endex received legal advice on 6 April 2020 that it does not need to secure any regulatory authorisation or permission in order to allow electronic access to companies in Qatar. However please note that any parties from Qatar which would like to become a member of ICE Endex should contact the Dutch Authority Financial Markets (AFM) in order to be able to become a member.

Members and participants are reminded that ICE Endex does not solicit, or physically provide services in Qatar, in relation to the transactions in products listed on ICE Endex.

Members located outside Qatar seeking to access the market in Qatar for the purpose of trading on behalf of Qatar-based clients should seek their own legal advice regarding appropriate authorisation from the relevant Qatar authorities.

Singapore

On 23 January 2018 the Monetary Authority of Singapore (MAS) recognized ICE Endex as a Recognized Market Operator pursuant to which ICE Endex is entitled to permit identified members and other participants located in Singapore to enter trades directly into the ICE Endex order entry and trade matching system.

This recognition is applicable for energy and environmental markets.

Taiwan (Republic of China)

On 28 March 2022, the Taiwan Futures Exchange (Taifex) confirmed to ICE Endex that TTF Natural Gas Futures and TTF Gas Options (Futures Style Margin) are conforming the requirements issued by the Financial Supervisory Commission (FSC) and have been added as eligible foreign contracts for Taiwanese traders. With this confirmation, ICE Endex is entitled to permit identified members and other participants located in Taiwan to enter trades in TTF Natural Gas Futures and TTF Gas Options (Futures Style Margin) directly into the ICE Endex order entry and trade matching system.

4. Oceania

Australia

On 13 December 2022, the Australian Securities and Investments Commission (ASIC) granted a license to ICE Endex for operating a financial market in Australia through which Australian participants may make or accept offers to acquire or dispose of derivatives. With this license, ICE Endex is entitled to permit identified members and other participants located in Australia to enter trades in derivative products directly into the ICE Endex order entry and trade matching system.

5. Europe

European Economic Area Member States

As of 5 October 2004, and the implementation of the Markets in Financial Instruments Directive (Directive 2004/39/EC) (MiFID), ICE Endex has the status of regulated market and has the ability to make arrangements to facilitate access to its markets in EEA Member States, pursuant to Article 42 of MiFID. ICE Endex has notified the AFM: Dutch Authority for Financial Markets (home Member State regulator) of its intention to facilitate access to all EEA Member States:

Austria	Germany	Malta
Belgium	Greece	Norway
Bulgaria	Hungary	Poland
Croatia	Iceland	Portugal
Cyprus	Ireland	Romania
Czech Republic	Italy	Slovakia
Denmark	Latvia	Slovenia
Estonia	Liechtenstein	Spain
Finland	Lithuania	Sweden
France	Luxembourg	

United Kingdom

The Financial Conduct Authority (FCA) issued a Recognised Overseas Investment Exchange ('ROIE') license for ICE Endex on February 2019 that has become effective per 1 January 2021 (end of the Transition Period Covering the UK Withdrawal from the EU). With this license, ICE Endex is entitled to permit identified members and other participants located in the United Kingdom to enter trades directly into the ICE Endex order entry and trade matching system.

Switzerland

ICE Endex does, in principle, not need to secure any regulatory authorisation or permission to allow electronic access to Members from Switzerland, provided that such Members are not under supervision of the Swiss Financial Market Supervisory Authority (FINMA). Parties seeking access to ICE Endex from Switzerland are requested to obtain legal advice to determine if access can under the specific circumstances be granted.

6. Sanctioned jurisdictions

Sanctions

ICE Endex is required to adhere to sanctions imposed by governments of the jurisdictions in which it operates, as well as those imposed internationally by the United Nations.

Sanctions may be imposed against countries, entities or individuals and ICE Endex may not provide access to these jurisdictions/ entities / persons.

A non-exhaustive selection of sanctions lists is provided below:

- [EU](#)
- [UK](#)
- [Switzerland](#)
- [USA](#)
- [Singapore](#)